

2015 Lobbyist Registration Audit of Ashley Cates

FINDING(S)

Ms. Ashley Cates failed to timely register as a lobbyist for her employer (See Registrations section).

EMPLOYER(S)

- AIA TN

REGISTRATION(S)

Ms. Cates registered as a lobbyist for one employer during 2015. Based on the audit documentation, Ms. Cates was an employee of AIA TN with duties that included lobbying during 2015. She was registered as their lobbyist in 2014, thus the lobbyist had a continuing lobbying relationship with her employer. Therefore, the lobbyist should have registered within seven days of the commencement of the year which is January 8, 2015. Ms. Cates did not register until January 9, 2015, 1 day late. Based on review of the Bureau of Ethics and Campaign Finance's lobbyist records, Ms. Cates paid all registration fees.

LOBBYING AGREEMENT(S)

Based on a review of the audit documentation provided by Ms. Cates, she was a direct employee of the employer. The employer had no employment contract with the lobbyist employee. However, per statements of the lobbyist and review of her job description, it appears the terms of employment were within the statutes for lobbying activities including having no terms for contingency payments based on lobbying success.

FAMILY OR BUSINESS ARRANGEMENTS WITH PUBLIC OFFICIALS

Ms. Cates reported she had no business or familial relationships with persons who were officials in the legislative branch or officials in the executive branch.

TRAINING

Based on review of the Bureau of Ethics and Campaign Finance's lobbyist records, Ms. Cates completed her required lobbyist training for the 2015 registration year and paid all training fees.